

## Daily Capital Computation - 1-FR-FCM Format

AS OF 4/29/2021

Name of Company	NANHUA USA LLC			1000
Contact Name	Kimberley Vercoe			1010
Contact Phone Number	+1(312)526-3930			1020
Submitted By	Zhiqi (Charlotte) Chen, 04/30/2021			
1. Current assets			\$146,560,566	1030
2. Total Liabilities		\$129,719,770		1040
3. Deductions from total liabilities				
A. Liabilities subject to satisfactory subordination agreements		0		1050
B. Other adjustments		0		1060
4. Adjusted liabilities			129,719,770	1070
5. Net Capital			\$16,840,796	1080
6. Total charges			5,618	1090
7. Adjusted Net Capital			\$16,835,178	1100
8. CFTC Capital Requirement				
A. Amount of Customer Risk				
Maintenance Margin Requirement	78,814,612			1110
B. 8% of line 8.A.		6,305,169		1120
C. Amount of Non-customer Risk				
Maintenance Margin Requirement	0			1130
D. 8% of line 8.C.		0		1140
E. Other Adjustment		0		1150
F. Minimum CFTC Net Capital Requirement			\$6,305,169	1160
9. Excess net capital			\$10,530,009	1170
10. Early warning capital requirement			\$6,935,686	1180
11. Excess early warning capital			\$9,899,492	1190
12. Exchange capital requirement			\$5,000,000	1200
13. Excess exchange capital			\$11,835,178	1210